

REQUEST FOR ADVANCE OR REIMBURSEMENT

(See instructions on back)

1. TYPE OF PAYMENT REQUESTED		e. * one or both boxes <input type="checkbox"/> ADVANCE <input checked="" type="checkbox"/> REIMBURSEMENT b. * the applicable box <input type="checkbox"/> FINAL <input checked="" type="checkbox"/> PARTIAL		2. BASIS OF REQUEST
3. FEDERAL SPONSORING AGENCY AND ORGANIZATIONAL ELEMENT TO WHICH THIS REPORT IS SUBMITTED		4. FEDERAL GRANT OR OTHER IDENTIFYING NUMBER ASSIGNED BY FEDERAL AGENCY		5. PARTIAL PAYMENT REQUEST NUMBER FOR THIS REQUEST
Delta Regional Authority		KY-10737 EC		14

6. EMPLOYER IDENTIFICATION NUMBER	7. RECIPIENT'S ACCOUNT NUMBER OR IDENTIFYING NUMBER	8. PERIOD COVERED BY THIS REQUEST	
61-6014175		FROM (month, day, year)	TO (month, day, year)
		10/28/2017	12/5/2017

9. RECIPIENT ORGANIZATION	10. PAYEE (Where check is to be sent if different than item 9)
Name: Marshall County Fiscal Court Number and Street: 1101 Main Street City, State and ZIP Code: Benton, Kentucky 42025	Name: Number and Street: City, State and ZIP Code:

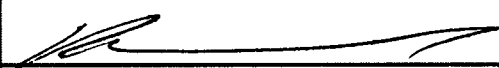
11. COMPUTATION OF AMOUNT OF REIMBURSEMENTS/ADVANCES REQUESTED

PROGRAMS/FUNCTIONS/ACTIVITIES	(a)	(b)	(c)	TOTAL
a. Total program outlays to date (As of date)	\$ 139,467.02	\$	\$	\$ 139,467.02
b. Less: Cumulative program income				0.00
c. Net program outlays (Line a minus line b)	139,467.02	0.00	0.00	139,467.02
d. Estimated net cash outlays for advance period				0.00
e. Total (Sum of lines c & d)	139,467.02	0.00	0.00	139,467.02
f. Non-Federal share of amount on line e				0.00
g. Federal share of amount on line e	139,467.02			139,467.02
h. Federal payments previously requested	130,039.52			130,039.52
i. Federal share now requested (Line g minus line h)	9,427.50	0.00	0.00	9,427.50
j. Advances required by month, when requested by Federal grantor agency for use in making prescheduled advances	1st month			0.00
	2nd month			0.00
	3rd month			0.00

12. ALTERNATE COMPUTATION FOR ADVANCES ONLY

a. Estimated Federal cash outlays that will be made during period covered by the advance	\$
b. Less: Estimated balance of Federal cash on hand as of beginning of advance period	
c. Amount requested (Line a minus line b)	\$ 0.00

13. **CERTIFICATION**

I certify that to the best of my knowledge and belief the data on the reverse are correct and that all outlays were made in accordance with the grant conditions or other agreement and that payment is due and has not been previously requested.	SIGNATURE OR AUTHORIZED CERTIFYING OFFICIAL 	DATE REQUEST SUBMITTED December 12, 2017
	TYPED OR PRINTED NAME AND TITLE Kevin Neal, County Judge/Executive	TELEPHONE (AREA CODE, NUMBER, EXTENSION) 270-527-4750

This space for agency use

Public reporting burden for this collection of information is estimated to average 60 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0004), Washington, DC 20503.

PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.

INSTRUCTIONS

Please type or print legibly. Items 1, 3, 5, 9, 10, 11e, 11f, 11g, 11i, 12 and 13 are self-explanatory; specific instructions for other items are as follows:

<i>Item</i>	<i>Entry</i>	<i>Item</i>	<i>Entry</i>
2	Indicate whether request is prepared on cash or accrued expenditure basis. All requests for advances shall be prepared on a cash basis.		
4	Enter the Federal grant number, or other identifying number assigned by the Federal sponsoring agency. If the advance or reimbursement is for more than one grant or other agreement, insert N/A; then, show the aggregate amounts. On a separate sheet, list each grant or agreement number and the Federal share of outlays made against the grant or agreement.		
6	Enter the employer identification number assigned by the U.S. Internal Revenue Service, or the FICE (institution) code if requested by the Federal agency.		
7	This space is reserved for an account number or other identifying number that may be assigned by the recipient.		
8	Enter the month, day, and year for the beginning and ending of the period covered in this request. If the request is for an advance or for both an advance and reimbursement, show the period that the advance will cover. If the request is for reimbursement, show the period for which the reimbursement is requested.		
Note:	The Federal sponsoring agencies have the option of requiring recipients to complete items 11 or 12, but not both. Item 12 should be used when only a minimum amount of information is needed to make an advance and outlay information contained in item 11 can be obtained in a timely manner from other reports.		
11	The purpose of the vertical columns (a), (b), and (c) is to provide space for separate cost breakdowns when a project has been planned and budgeted by program, function, or		
		activity. If additional columns are needed, use as many additional forms as needed and indicate page number in space provided in upper right; however, the summary totals of all programs, functions, or activities should be shown in the "total" column on the first page.	
		11a	Enter in "as of date," the month, day, and year of the ending of the accounting period to which this amount applies. Enter program outlays to date (net of refunds, rebates, and discounts), in the appropriate columns. For requests prepared on a cash basis, outlays are the sum of actual cash disbursements for goods and services, the amount of indirect expenses charged, the value of in-kind contributions applied, and the amount of cash advances and payments made to subcontractors and subrecipients. For requests prepared on an accrued expenditure basis, outlays are the sum of the actual cash disbursements, the amount of indirect expenses incurred, and the net increase (or decrease) in the amounts owed by the recipient for goods and other property received and for services performed by employees, contracts, subgrantees and other payees.
		11b	Enter the cumulative cash income received to date, if requests are prepared on a cash basis. For requests prepared on an accrued expenditure basis, enter the cumulative income earned to date. Under either basis, enter only the amount applicable to program income that was required to be used for the project or program by the terms of the grant or other agreement.
		11d	Only when making requests for advance payments, enter the total estimated amount of cash outlays that will be made during the period covered by the advance.
		13	Complete the certification before submitting this request.

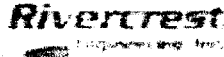
DELTA REGIONAL AUTHORITY WORKSHEET FOR REIMBURSEMENT REQUEST

Project Title: Marshall County SD 1 Wastewater Plant and Lift Station Rehab

DRA Grant/Project Number: KY-10737 E.C.

Reimbursement Period/This Request. From 10/28/2017 to 12/5/2017

Approved Budget Category	Approved Budget	Match Non-DRA Expenses This Request	DRA Expenses This Request	Total Expenses This Period	Total Project Outlays To Date	Budget Balance Remaining
Per funding sources % of budget		43% share budget	57% share budget			
Engineering Design	106,500.00	0.00	1,852.50	1,852.50	87,053.85	19,446.15
Engineering Inspect	38,000.00	0.00	7,575.00	7,575.00	7,575.00	30,425.00
Construction	500,000.00	0.00	0.00	0.00	44,838.17	455,161.83
Contingencies	47,783.00	0.00	0.00	0.00	0.00	47,783.00
SUBTOTALS						
Less cumulative Program Income						
TOTAL	692,283.00	0.00	9,427.50	9,427.50	139,467.02	552,815.98



Professional Services Invoice

Service Provider: Rivercrest Engineering Incorporated
 3519 State Route 440
 Hickory, KY 42051
 Phone (270) 519-7675

Invoice No. 9
 Invoice Date: December 5, 2017

Client: Marshall County Sanitation District #1
 c/o Marshall County Fiscal Court
 Attn: Kevin Neal, Judge/Executive
 1101 Main Street
 Benton, KY 42025

RECEIVED
 DEC 08 2017
 MARSHALL CO. JUDGE'S OFFICE

REI Project No. 17004-01
 Project Name: MCSD1 LIR Station Improvements

Service Dates: 10/26/2017 through 12/5/2017

Professional Service Invoice Type:	Orig. Lump Sum & Hourly Services	99,500.00	Original Contracted Amount
	Added Easement Acquisition Services (Hourly)	2,962.50	(\$75.00 * 39.5 hrs)
		102,462.50	Updated Contract Amount

Task Item No	Lump Sum Service Description	L.S. Amount	% Complete this Period	% Complete to Date	Amount Subtotal
1	Preliminary Evaluation	2,000.00		100%	\$2,000.00
2	Preliminary Design & Surveying Coordination	9,700.00		100%	\$9,700.00
3	Final Design & Permitting	15,500.00		100%	\$15,500.00
4	Procurement	3,200.00		100%	\$3,200.00
5	Utility Easement Development	2,700.00		100%	\$2,700.00
Subtotal - Lump Sum Tasks		33,100.00		100%	\$33,100.00
LS Direct Expense - Survey, Geo, Electrical, Mileage		15,000.00		100%	\$15,000.00
			Units this Period	Units to Date	Amount Subtotal
6 - Hourly	Construction Engineering & Closeout	13,000.00	21.0	48.0	\$5,520.00
7 - Hourly	Construction Observation (Est. 16 weeks)	38,000.00	93.5	101.0	\$7,575.00
8 - Hourly	Easement Acquisition - Added to Orig. Scope	Hourly		39.5	\$2,962.50
Amount Earned to Date					\$64,157.50
Less Amount Previously Invoiced					\$54,730.00
Amount Due this Invoice					\$9,427.50

Service fees due for professional services rendered in November 2017 = \$9,427.50


 R. Brian Flynn, PE, President

Payment History (see Page 2):